

Reference Design:

HFRD-29.11

Rev 0; 6/09

REFERENCE DESIGN

**Dual-Rate (1.25Gbps/10.3125Gbps) VCSEL
Small Form-Factor Pluggable (SFP+) Transceiver**

Dual-Rate (1.25Gbps/10.3125Gbps) VCSEL SFP+ Transceiver

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1 Overview

High-Frequency Reference Design (HFRD-29.11) is a complete optical transceiver targeted for the small form-factor pluggable (SFP+) Multisource Agreement (MSA) market and other high-speed optical-transceiver applications.

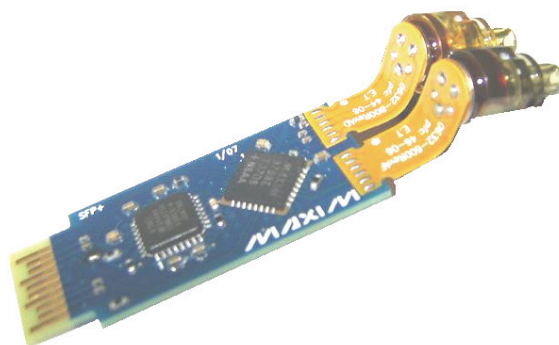
This design showcases the MAX3799 combination differential VCSEL driver and limiting amplifier. The MAX3799 limiting amplifier is optimized to provide standards-compliant sensitivity at data rates of either 1.25Gbps or 10.3125Gbps. The reference design is available with a variety of VCSEL (TOSAs) and receivers (ROSAs). Control of the MAX3799 is provided by an ATMEGA88 microprocessor. The microprocessor interfaces to the MAX3799 with a 3-wire digital interface, and is used to regulate optical power, monitor functions, and control other settings within the MAX3799. Communication with the SFP+ module is accomplished through the standard MSA I²C interface.

The MAX3799 is a cost-effective solution for an SFP+ dual-rate transceiver. Combining the VCSEL driver and limiting amplifier into a single, 5mm x 5mm package reduces cost without compromising performance. Mask margins in excess of 40% at 10.3125Gbps are achievable.

The HFRD-29.11 transceiver reduces design time for SFP+ and other optical transmitters by providing the schematics, PC-board layout, Gerber files, and bill of materials. The module is provided with some basic firmware and a graphical user interface (GUI) to demonstrate the operation of the MAX3799. Test data and typical performance from an assembled board also aid in evaluating this reference design.

1.1 Features

- Schematics and Bill of Materials provided
- Gerber plot files available
- Dual-rate compliant (1.25Gbps or 10.3125Gbps)
- Single +3.3V power supply
- SFP+ multisource footprint
- Digital diagnostic monitors
- 850nm wavelength LC VCSEL (TOSA) and receiver (ROSA) provided
- Basic F/W and GUI provided with sample



2 Obtaining Additional Information

Limited quantities of the HFRD-29.11 SFP+ transmitter board and HFRD-30.1 SFP host board (see section 6.5) are available. For more information about this reference design or to obtain an SFP+ transmitter or host board, please access our customer support at: <https://support.maxim-ic.com>

3 Reference-Design Details

3.1 Components

3.1.1 MAX3799 Laser Driver/Limiting Amp

The MAX3799 is a combination VCSEL driver and limiting amplifier with a 3-wire digital-control interface.

The laser driver portion has many registers and features, including:

1. Waveform peaking
2. Pulse-width fine adjustment
3. Control of bias and modulation
4. Soft and hard limits on bias and modulation
5. Driver back termination
6. Full differential drive
7. Up to 12mA modulation
8. Fault detection capability

The limiting amplifier features:

1. Adjustable-output CML level
2. Adjustable-output slew rate
3. Input-bandwidth select feature
4. Output pre-emphasis enable
5. 5mV input sensitivity
6. LOS polarity/squelch
7. Programmable LOS level

For additional information, see the MAX3799 data sheet, available on the web at: www.maxim-ic.com/MAX3799.

3.1.2 Microcontroller

The microcontroller contains all of the firmware and input/output connections to control and monitor the MAX3799 functions. In this reference design an ATMEGA88 is employed, although other brands of microcontrollers can be used.

The microcontroller performs the following tasks:

1. I²C interface to host board
2. 3-wire digital interface to the MAX3799
3. Monitor and control of VCSEL power
4. Diagnostic monitoring functions
5. Control of all MAX3799 registers
6. Fault monitoring

Basic firmware is provided in the microcontroller to demonstrate the capability of the MAX3799. However, it is the designer's responsibility to complete a production version of the firmware. A GUI is also provided.

3.1.3 VCSEL (TOSA)

HFRD-29.11 is available with one of three VCSEL brands:

JDSU™ PL-FLD-00-S40-C5 www.jdsu.com

EMCORE®:8585-3760 www.emcore.com

Finisar®: HFE 6192-261 www.finisar.com

All VCSELs are provided with flex circuit interconnect and packaged in LC headers.

3.1.4 Receive Optical Subassemblies (ROSA)

HFRD-29.11 can be provided to the customer with either of these ROSA brands:

Finisar: HFD 6180-418 or 6180-419

JDSU: PL-FLR-00-S43-C6

3.2 Functional Block Diagram

The functional block diagram is shown in Figure 1. The 20-pin SFP+ electrical interface is shown on the left portion of the diagram. The TOSA and ROSA are located to the right of the MAX3799. A simple, but effective, RC equalizer is included on the Tx data input lines to help compensate for the jitter added by the edge connector. The TX_DISABLE and TX_FAULT functions are controlled through the microcontroller.

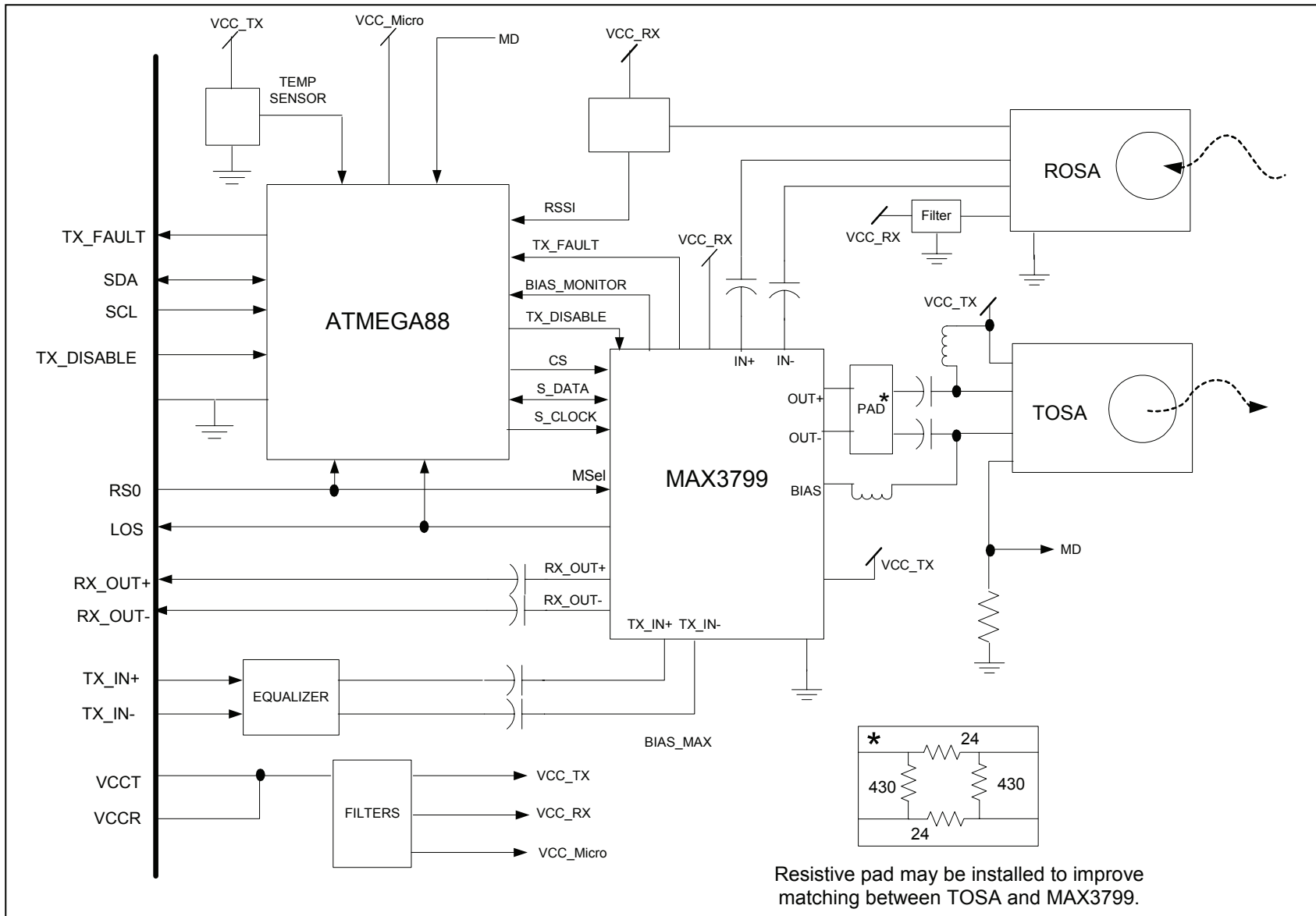
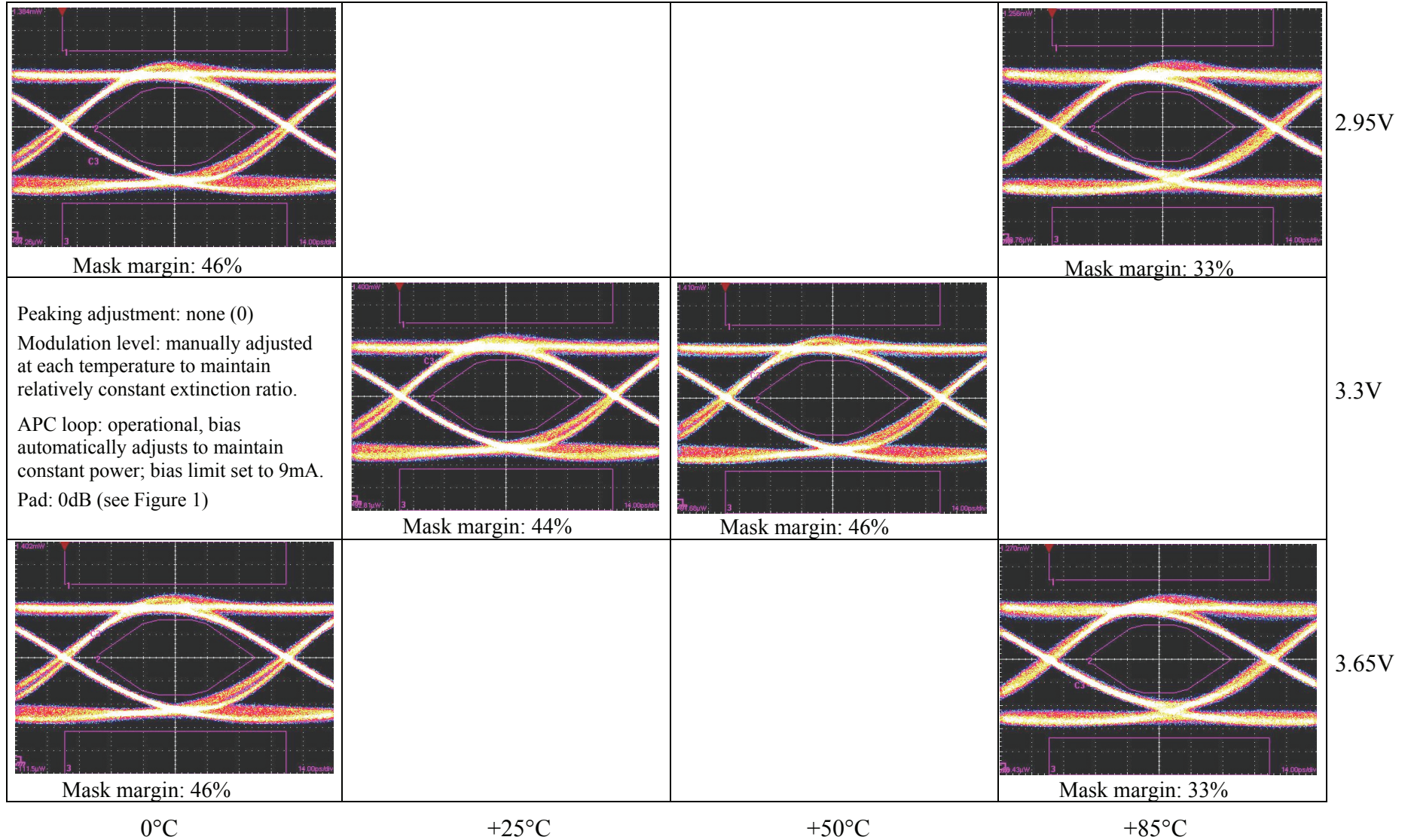


Figure 1. Functional diagram.

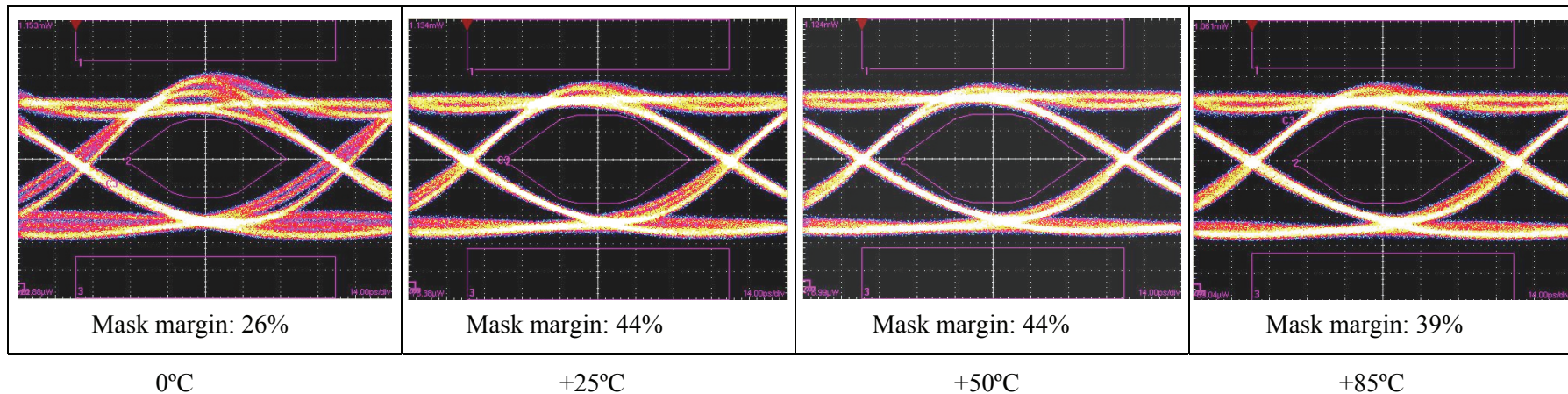
4 Transmitter Performance Data

4.1 Filtered Transmit Eye Diagrams at 10.3125Gbps, PRBS 2³¹-1 (JDSU VCSEL shown)



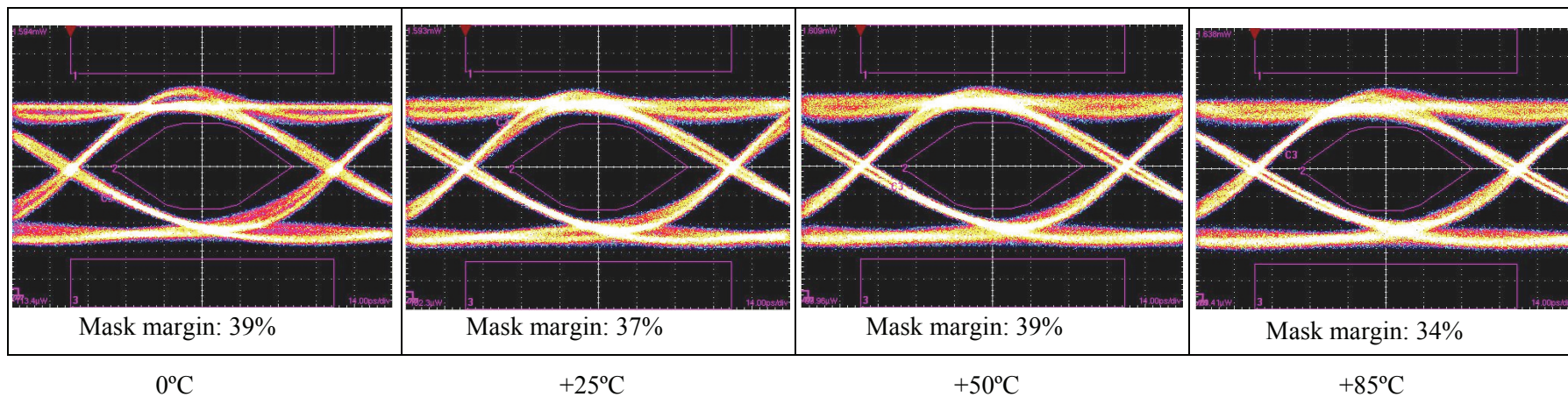
4.2 Filtered Transmit Eye Diagrams at 10.3125Gbps vs. Temperature (Finisar and EMCORE VCSELs shown)

Finisar VCSEL



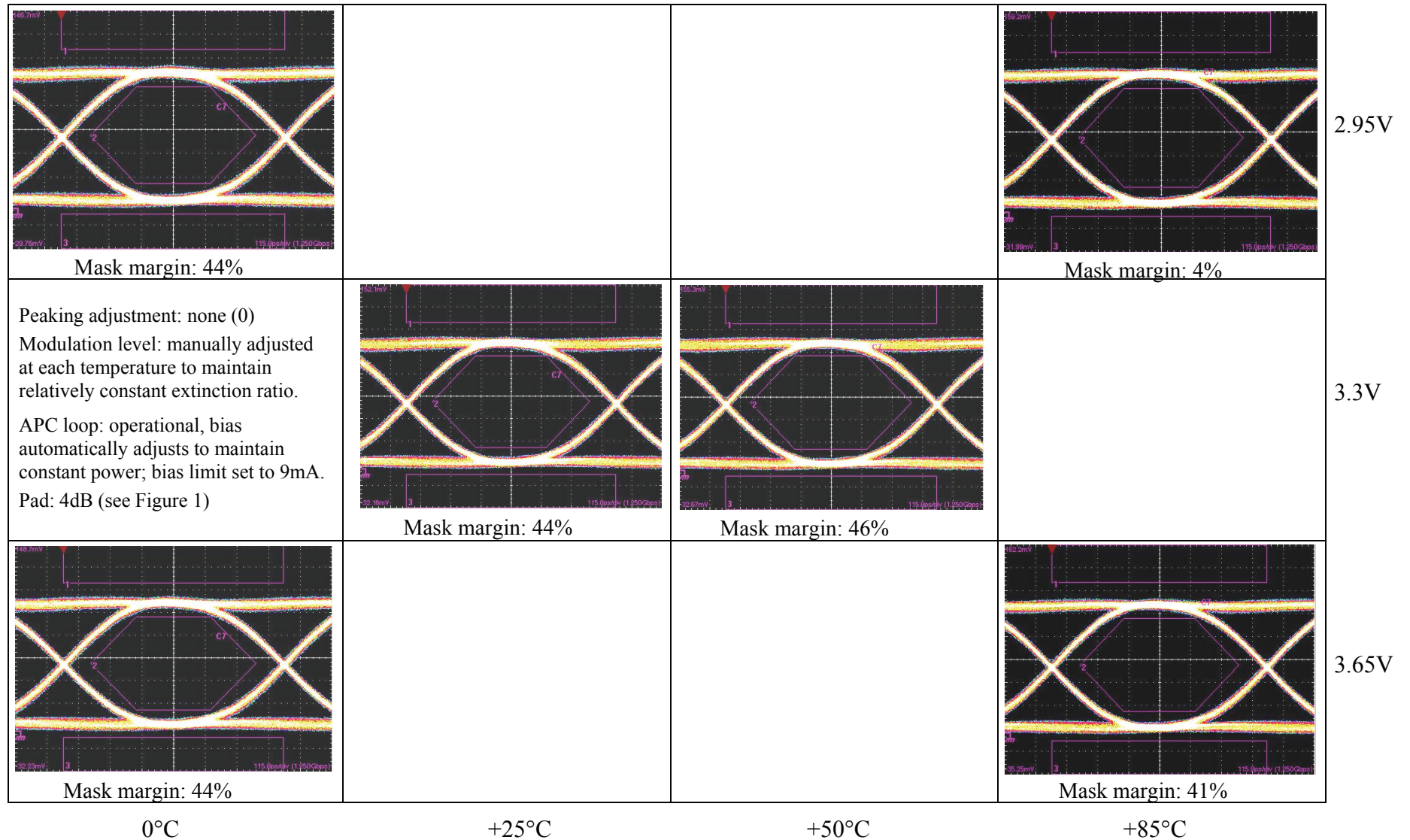
Peaking adjustment: none (0) Pad: 4dB Modulation level: manually adjusted to maintain relatively constant extinction ratio

EMCORE VCSEL

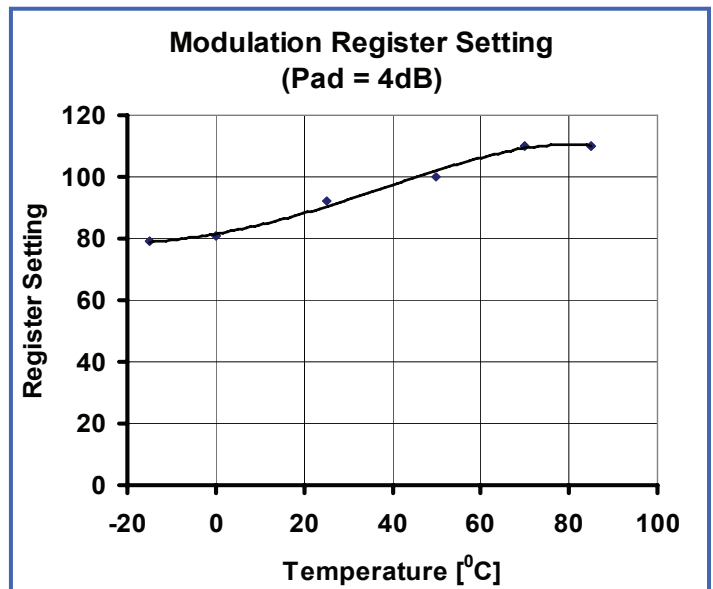
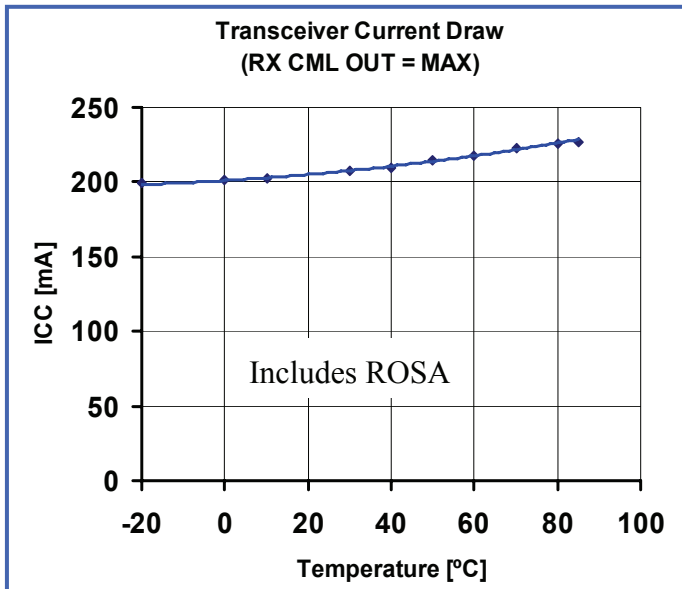
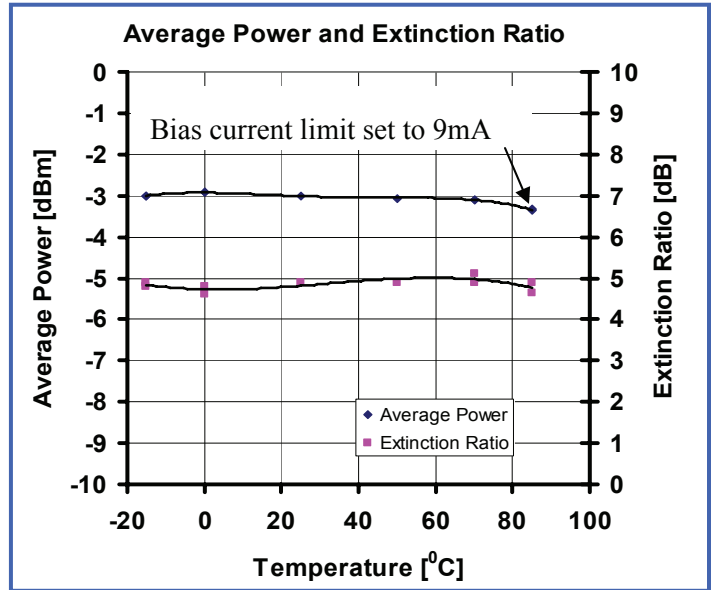
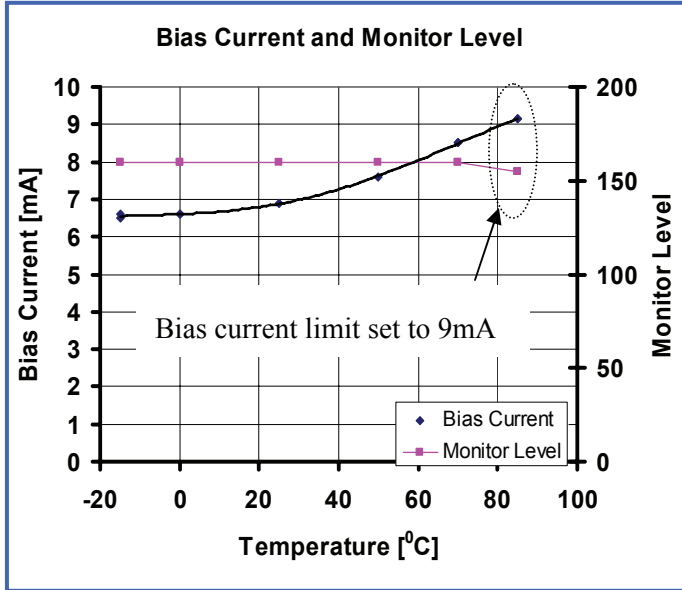


Peaking adjustment: none (0) Pad: 4dB Modulation level: manually adjusted to maintain relatively constant extinction ratio

4.3 Filtered Transmit Eye Diagrams at 1.25Gbps, PRBS 2⁷-1 (JDSU VCSEL shown)

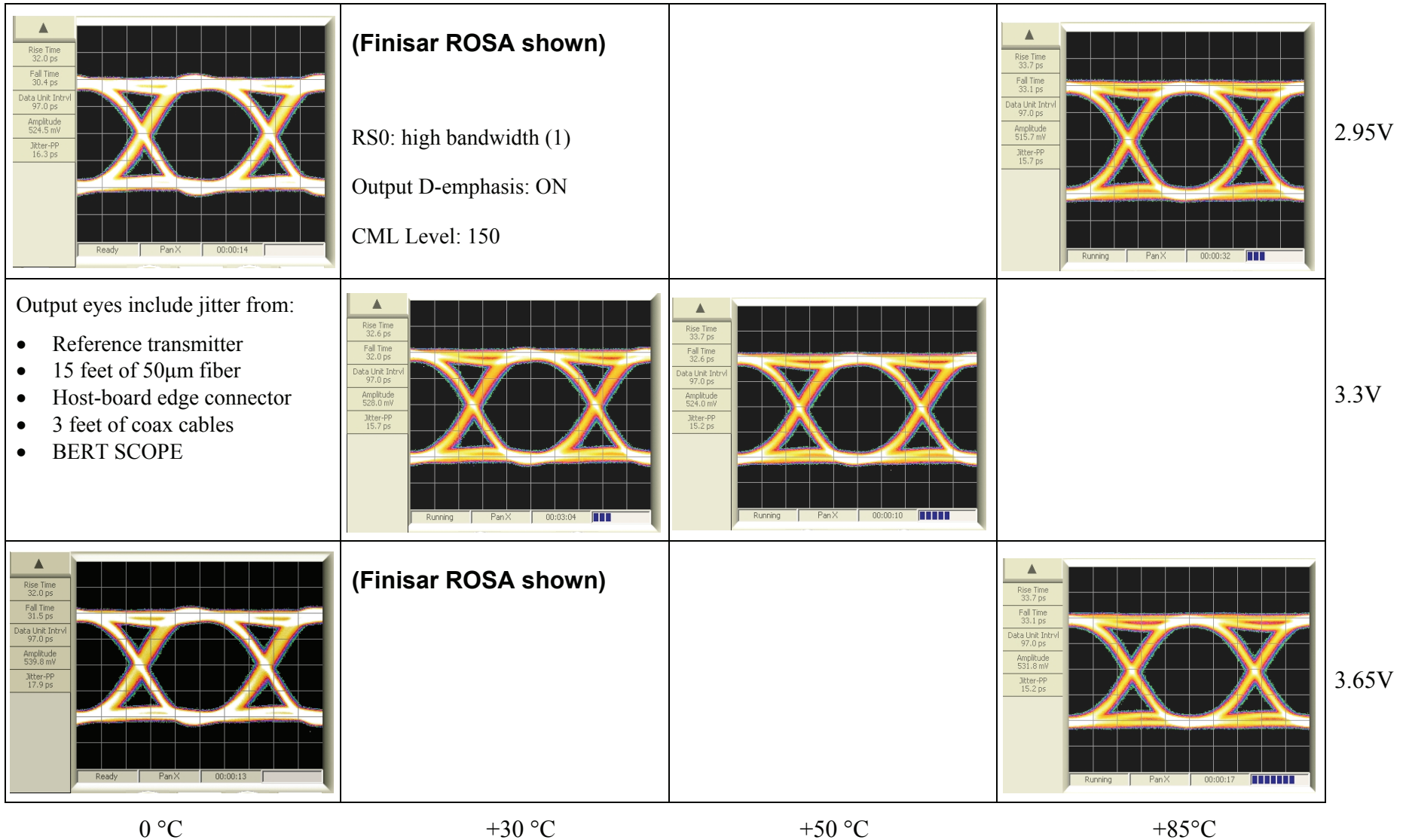


4.4 Additional Transmitter Data at 10.3125Gbps (Finisar VCSEL shown)

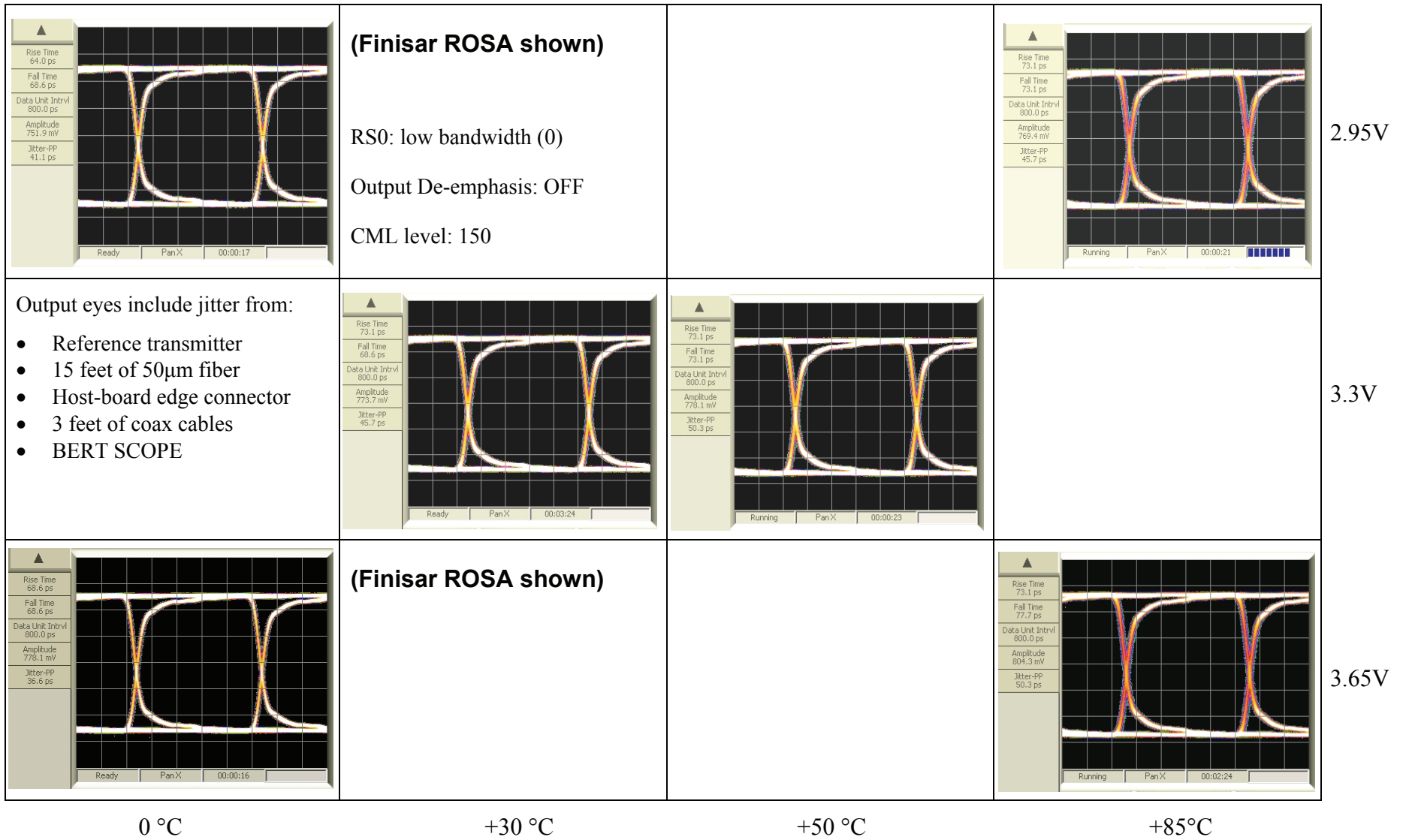


5 Receiver Performance Data

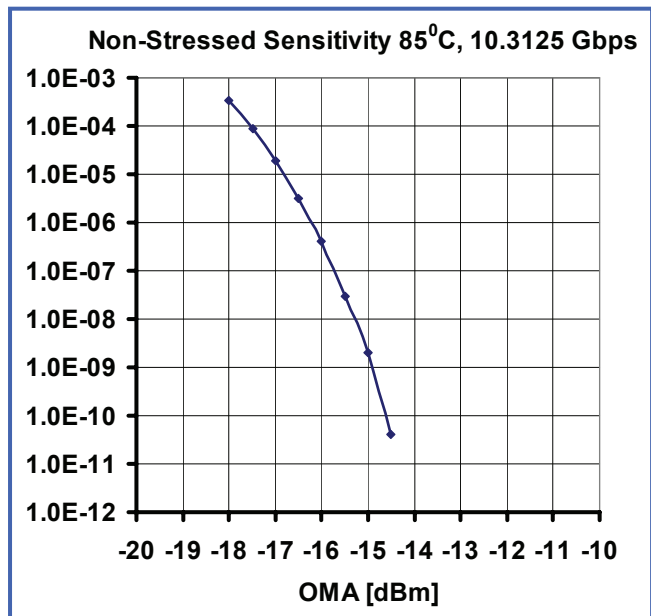
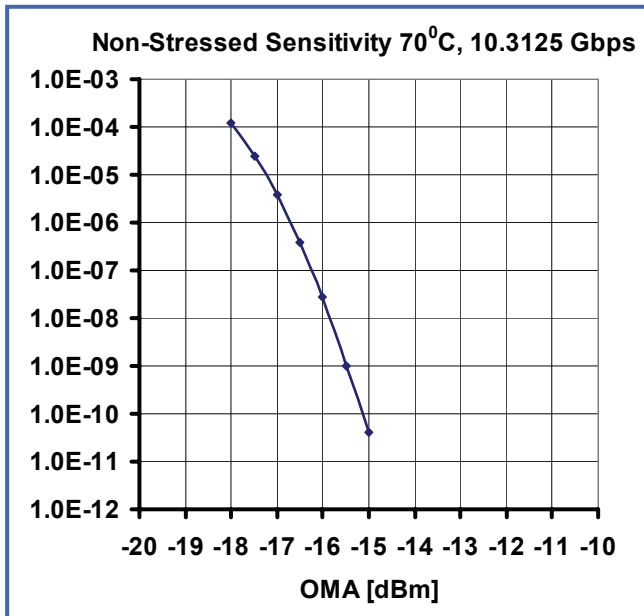
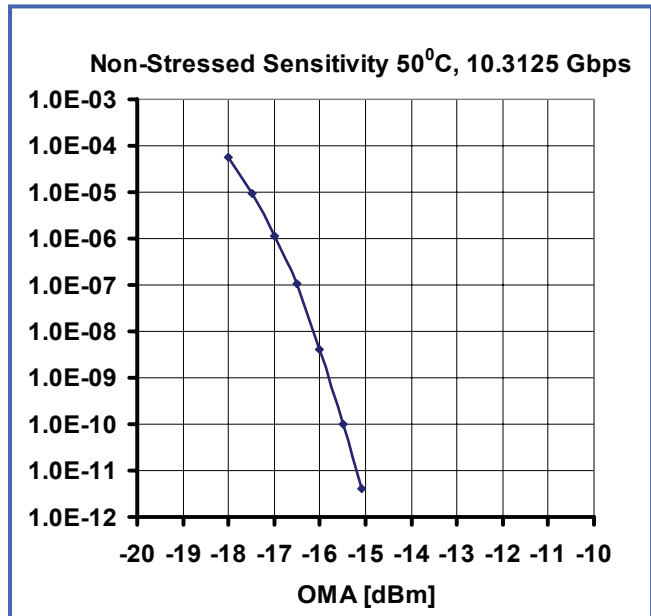
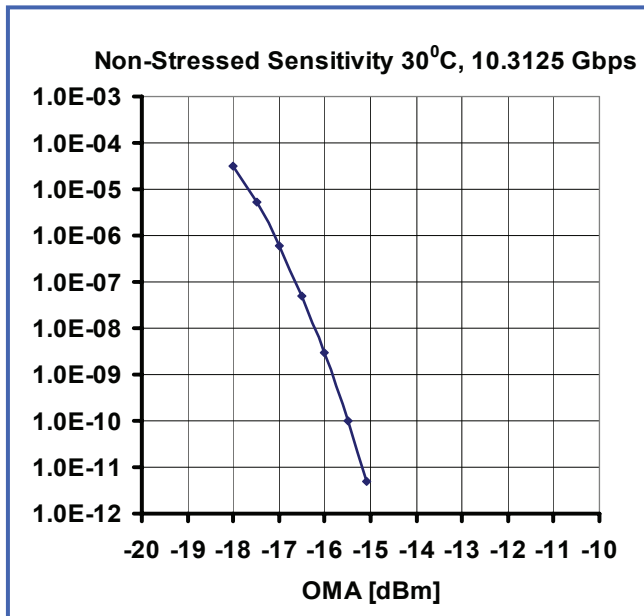
5.1 Receiver Output Eye Diagrams at 10.3125Gbps, $2^{31}-1$ PRBS, -10dBm Input OMA, Nonstressed Source



5.2 Receiver Output Eye Diagrams at 1.25Gbps, 2⁷-1 PRBS, -15dBm Input OMA, Nonstressed Source



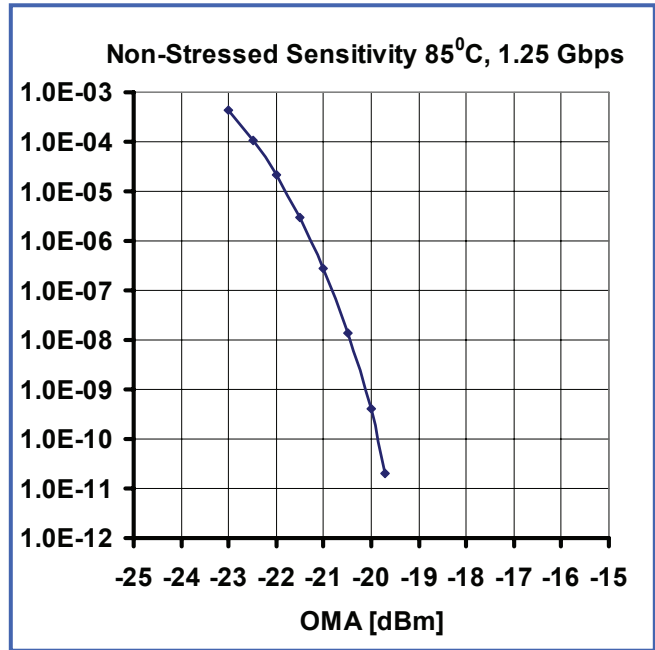
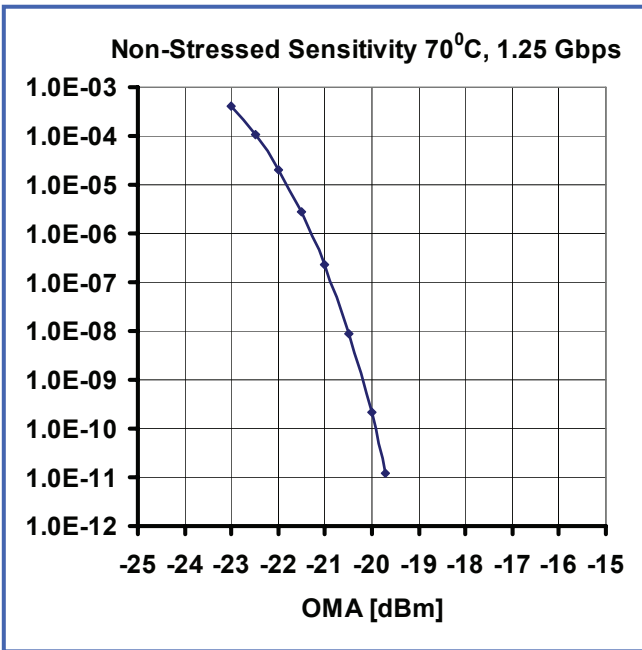
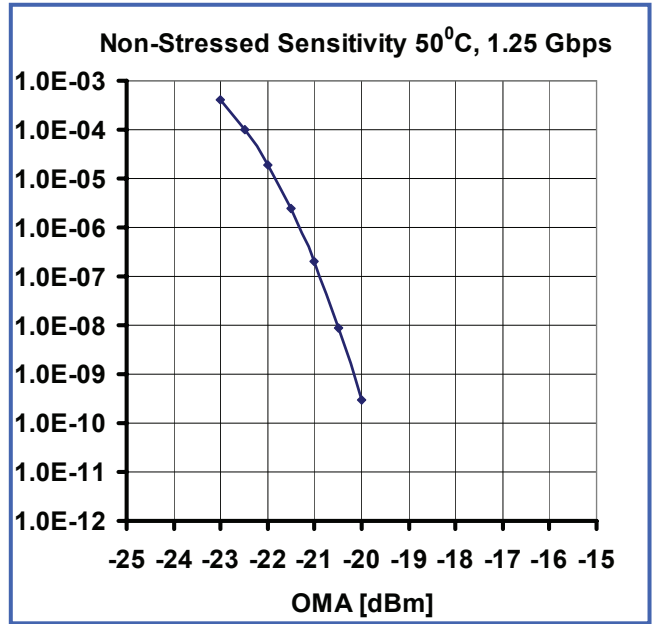
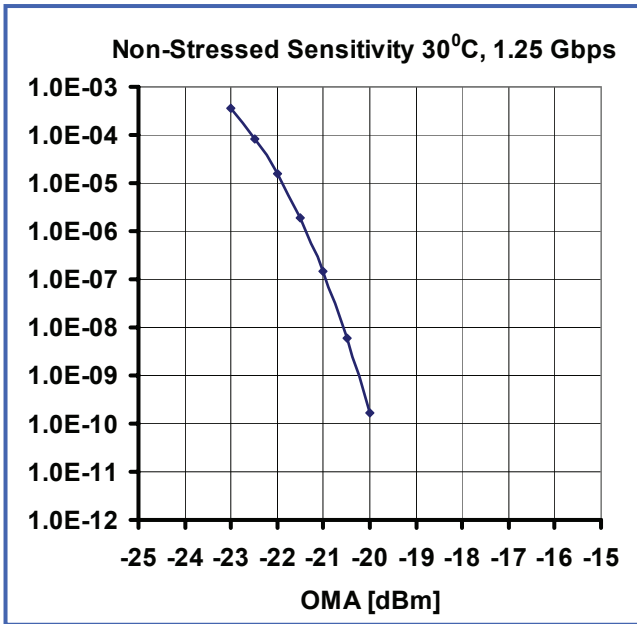
5.3 Receiver Sensitivity, 10.3125Gbps, 2³¹-1 PRBS (Finisar ROSA shown)



Specification (informative): -11.1dBm^[1]

^[1] IEEE^(S) Std 802.3-2005, page 318, Table 52-9

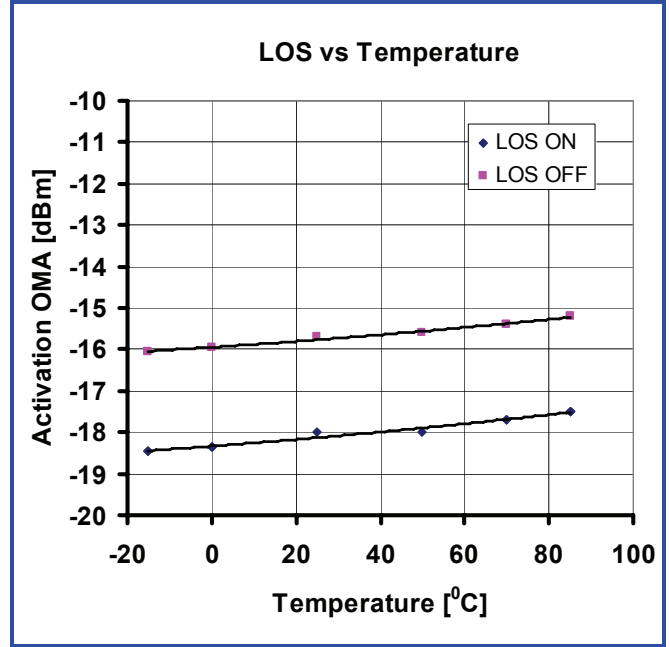
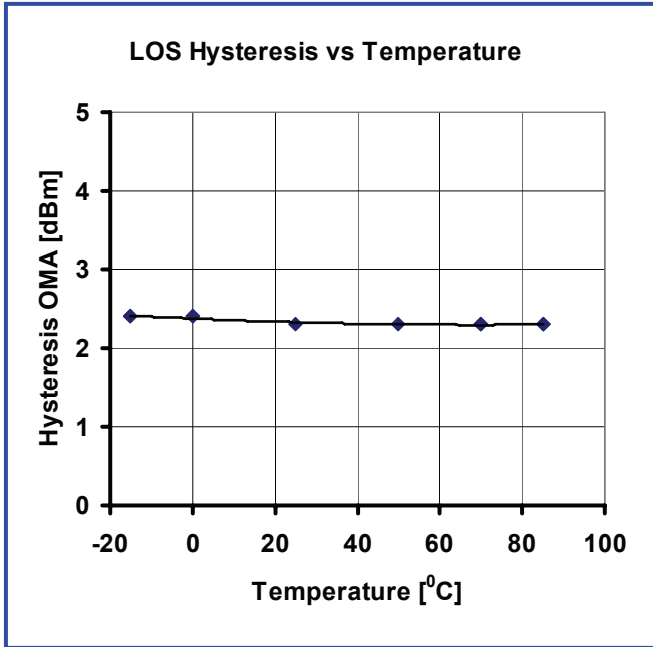
5.4 Receiver Sensitivity, 1.25Gbps, 2⁷-1 PRBS (Finisar ROSA shown)



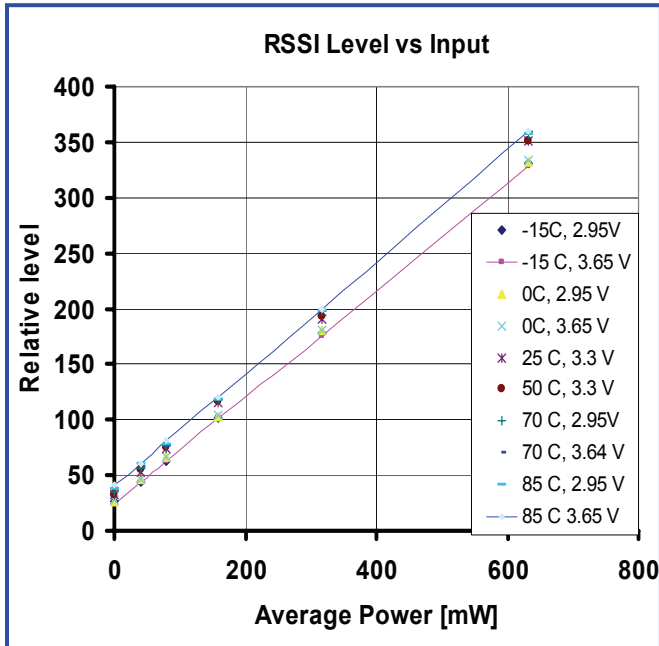
Specification (informative): -15.1dBm^[2]

^[2] IEEE Std 802.3-2005, page 108, Table 38-4 (Average Power converted to OMA)

5.5 Receiver LOS and RSSI, 10.3125Gbps (Finisar ROSA)



LOS level: 63 (Decimal); VCC: 3.3V



6 Applications Information

6.1 Small Form-Factor Pluggable Transceivers

The HFRD-29.11 transceiver design was specifically engineered to meet the requirements of the small form-factor pluggable (SFP+) transceiver Multisource Agreement (MSA). This MSA sets guidelines for the package outline, pin function, and other aspects of the module design. By complying with the standard, modules are mechanically and functionally interchangeable.

6.2 Monitor Functions

HFRD-29.11 provides monitor outputs for RSSI, bias current, temperature, VCC, and VCSEL monitor diode power level. The uncalibrated data is displayed in the Maxim-supplied GUI discussed below. To convert to an internally-calibrated format, the user can write additional microcontroller code to perform this function.

6.3 Microcontroller Firmware

This reference design is provided with basic microcontroller firmware. The firmware contains the following functions:

- Automatic power control (APC)
- Tx disable
- Tx fault indicator
- LOS indicator
- Uncalibrated monitor outputs
- I²C connection to host
- 3-wire interface to MAX3799

Additional code will be required to make the unit fully MSA compliant. It is the responsibility of the module designer to ensure that the code has been thoroughly reviewed. Maxim can provide a copy of the basic firmware used in the design to serve as a general guideline for implementing the APC control function and 3-wire interface.

6.4 Layout Considerations

Differential and single-ended transmission lines are designed on the HFRD-29.11 PC board. Changing the PCB layer profile can affect the impedance of these transmission lines and the performance of the reference design. If the layer profile is changed, the transmission line dimensions should be recalculated. Additional details are provided in sections 12 and 13.

6.5 Host-Board Requirements

To fully evaluate the HFRD-29.11, a Maxim Host Board, HFRD-30.1, is recommended. The HFRD-30.1 provides: the controlled impedance interface between the reference design and user's high-speed test equipment, such as the oscilloscope; and the bit error ratio tester (BERT). In addition, the host board enables the user to run the Maxim-provided GUI software through a standard USB connection. More details on this are provided in section 7 titled, "Getting Started."

6.6 Operating Data Rates

The HFRD-29.11 provides compliant sensitivity at data rates of either 1.25Gbps (8B10B coding) or 10.3125Gbps (64/66 coding). The required output power and extinction ratio for the optical signal are also dependent on the data rate selected. When a module sample is shipped to a customer, it is configured for the 10.3125Gbps data rate. The extinction ratio is set in the range of 4.5dB to 5dB. Optical power is set in the range of -1dBm to -3dBm. The customer can test the part at 1.25Gbps, but will need to adjust the modulation and APC levels for the desired extinction ratio and output power.

6.7 Operating Temperature

The operating temperature range of the MAX3799 is from -40°C to +85°C. The recommended operating temperature of the TOSAs and ROSAs varies from one manufacturer to the next. Maximum VCSEL case temperature is generally specified as +85°C. Minimum VCSEL case temperature varies from -40°C to 0°C. The suggested operating temperature range for the HFRD-29.11 is 0°C to +85°C.

6.8 Gerber Files

The Gerber files for this reference design are available by contacting Maxim support at 1-800-988-9872 between 8 a.m. and 5 p.m. Pacific Time, or by accessing our customer support through the website at: <https://support.maxim-ic.com/>. While Gerber files can be provided, it is still the module designer's responsibility to ensure that the layout meets all of their mechanical and thermal requirements.

6.9 TOSA and ROSA Options

At the time of this publication, there are three TOSA options and two ROSA options for HFRD-29.11. These options are outlined in sections 3.1.3 and 3.1.4. When making a sample request, the customer should indicate which TOSA and ROSA are required. At special request, Maxim may also install a customer's custom TOSA or ROSA, provided that they meet the correct mechanical and electrical specifications.

7 Getting Started

The HFRD-29.11 can be evaluated on any host board; however, to utilize the added benefit of the Maxim-supplied GUI, Maxim's host board, HFRD-30.1, is recommended. (Please refer to Reference Design HFRD-30.1 for complete details on the host board: http://www.maxim-ic.com/products/optical/reference_designs/)

Figure 2 shows the host board and associated connections. Precautions must be taken to ensure safe operation when using a device with a laser diode. Laser-light emissions can be harmful and may cause eye damage. Maxim assumes no responsibility for harm or injury as a result of the use of this reference design. The safe operation of this design is the sole responsibility of the user.

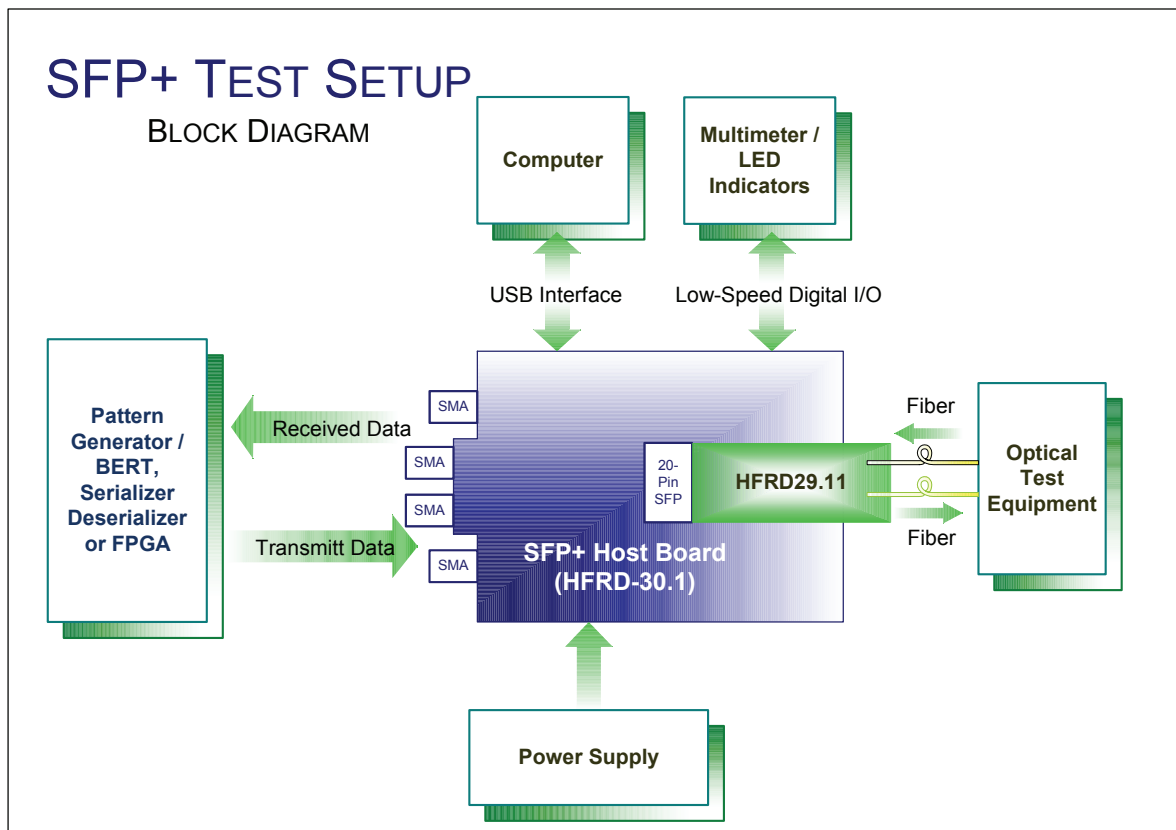


Figure 2. HFRD-29.11 with HFRD-30.1 host PCB.

- 1) Start with the host board. Attach a differential source such as a pattern generator to the Tx data inputs using equal lengths of high-speed SMA coax cable. The output level of the pattern generator should be set to a nominal level of 1V differential.
 - 2) Connect the Rx data SMA outputs on the host PCB to either an oscilloscope or BERT which is triggered by the pattern generator.
 - 3) Connect a computer to the USB port on the host PCB. The GUI software provided with this reference design should be installed in this computer.
 - 4) Connect a power supply to the 3.3V terminal on the host board. Set the power-supply current limit to 250mA. Do not apply power.
 - 5) Insert the HFRD-29.11 into the host board.
 - 6) Carefully insert an LC multimode fiber-optic cable into the TOSA barrel; take care not to strain the TOSA flex. The optical cable should be secured to prevent damage to the TOSA if the fiber is accidentally moved.
 - 7) Connect the other end of the fiber-optic cable to a high-speed oscilloscope through an optical-to-electrical converter or an optical plug-in module. The optical-to-electrical conversion should have a bandwidth sufficiently large for the operating bit rate and should be able to detect 850nm wavelengths.
- Note: The laser supplied with the reference design may be capable of delivering more than 1mW of power. Attenuation may be required if the optical power exceeds the optical-to-electrical device's input power rating.**
- 8) To view the receiver output, attach equal length high-speed SMA coaxial cables to the differential outputs from the host board to the oscilloscope.
 - 9) Connect a multimode fiber with an LC-type ferrule to the ROSA. Follow the same precautions outlined in step 6 above.

10) Connect the other end of the fiber to a high-speed 850nm optical source through an optical attenuator.

11) Apply power to the host board. Current draw should be less than 200mA.

12) The transceiver registers are preset to permit operation without running the GUI. To enable the optical output without running the GUI, connect the Tx disable test point on the host board to ground. The optical transmit eye should be observable on the scope.

13) The receiver output and LOS will also be operational. If an external reference transmitter is used as an optical source to test the receiver, the output electrical eye will be active. The RS0 is pulled high on the host board (wide bandwidth, low gain).

8 SFP+ Evaluation Software

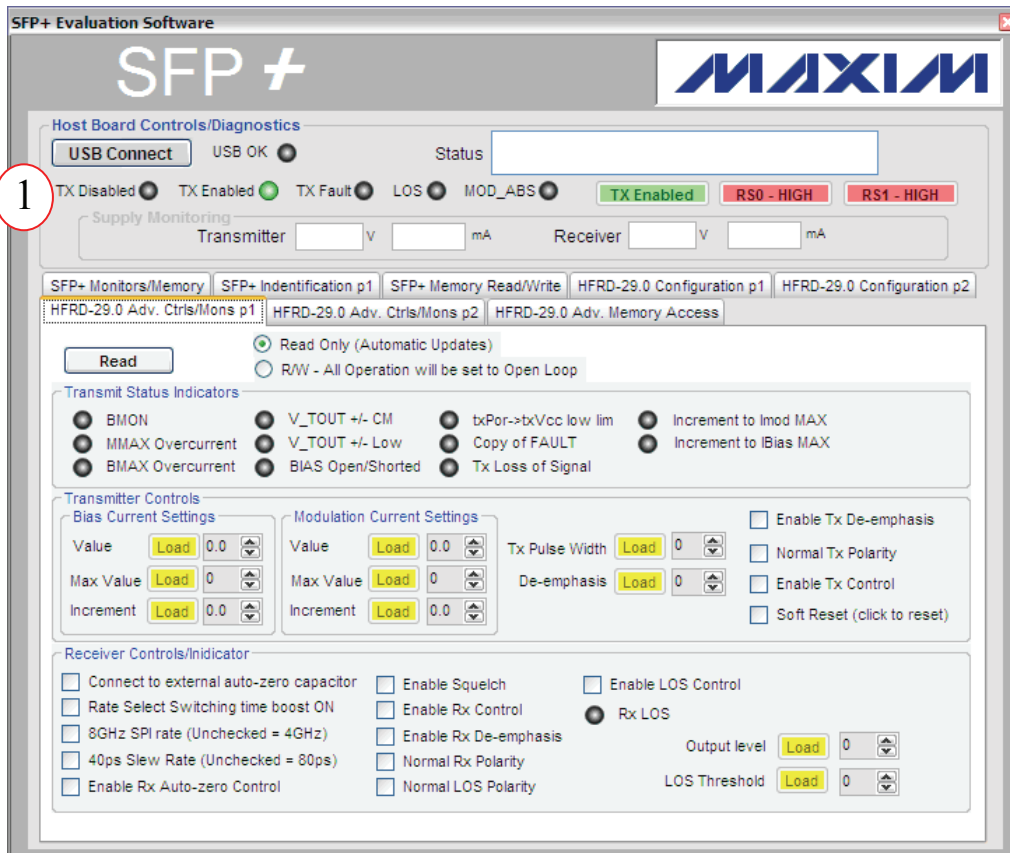
Running the GUI allows the user to access and modify the settings within the MAX3799. The GUI also displays several monitor functions:

- Temperature
- VCC
- VCSEL monitor level
- Bias current
- RSSI level

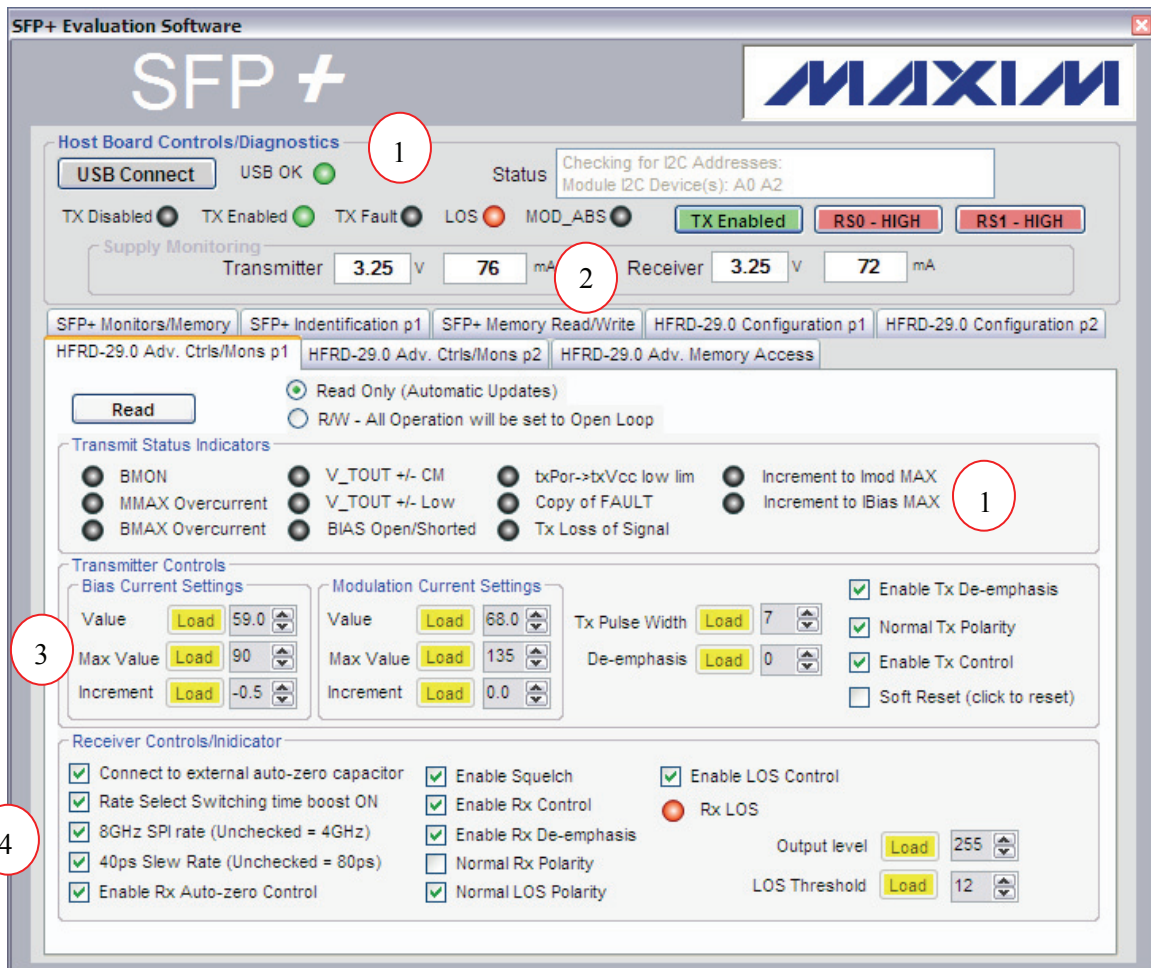
In the firmware provided with this reference design, all functions except the bias current (mA) are displayed in the GUI in an uncalibrated format.

8.1 Running the GUI

Activate the GUI. The screen shown below should appear.



1. USB Connect. Pressing this button initializes the test communication. This button resets the GUI interface, and initializes a test sequence to determine if the HFRD-30.1 is connected to the computer. The software then scans the I²C bus to determine if any I²C-addressable modules are connected to the HFRD-30.1 host board.

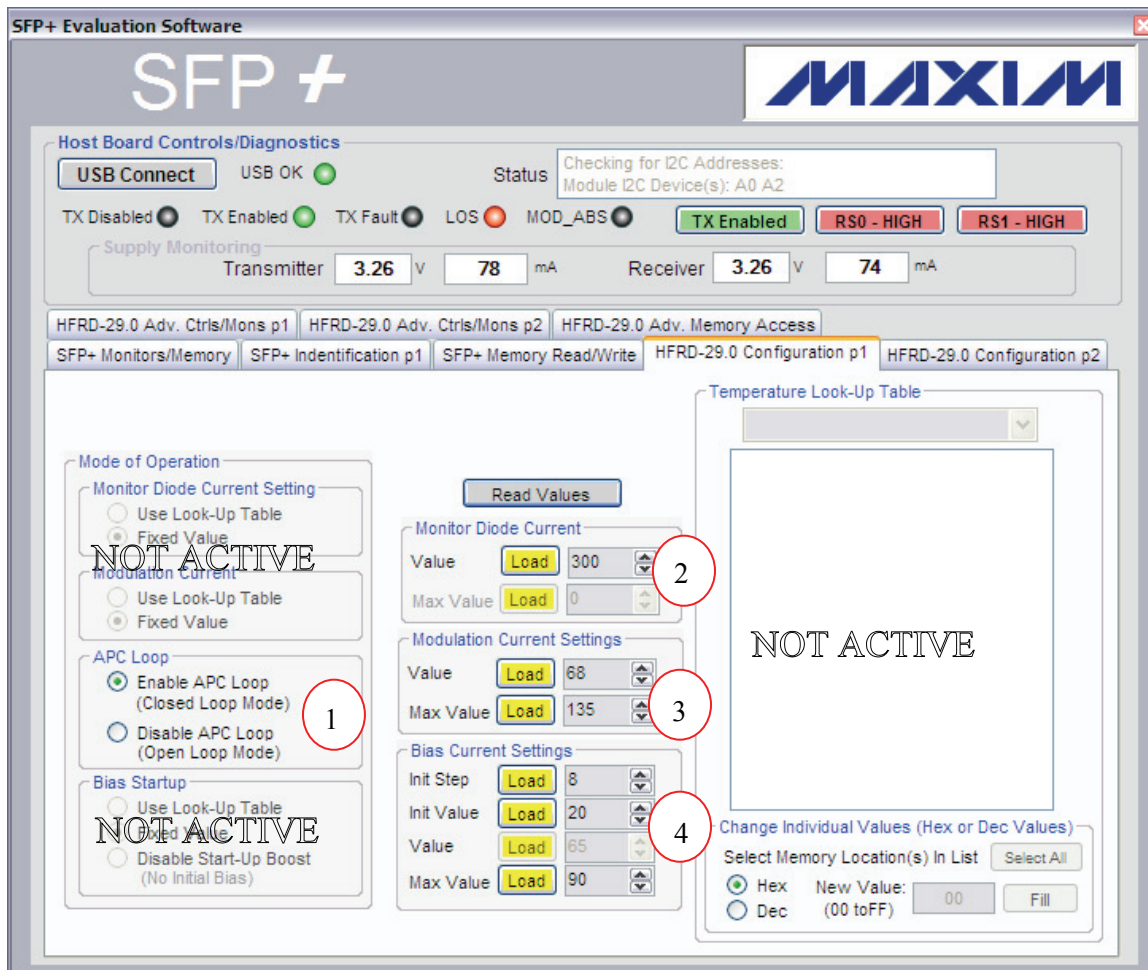


1. Status LEDs and Controls. Pressing **TX Enabled** or **TX Disabled** enables or disables the transmitter. Pressing **RS0** or **RS1** will toggle the output high or low. Transmit status indicators are also displayed.

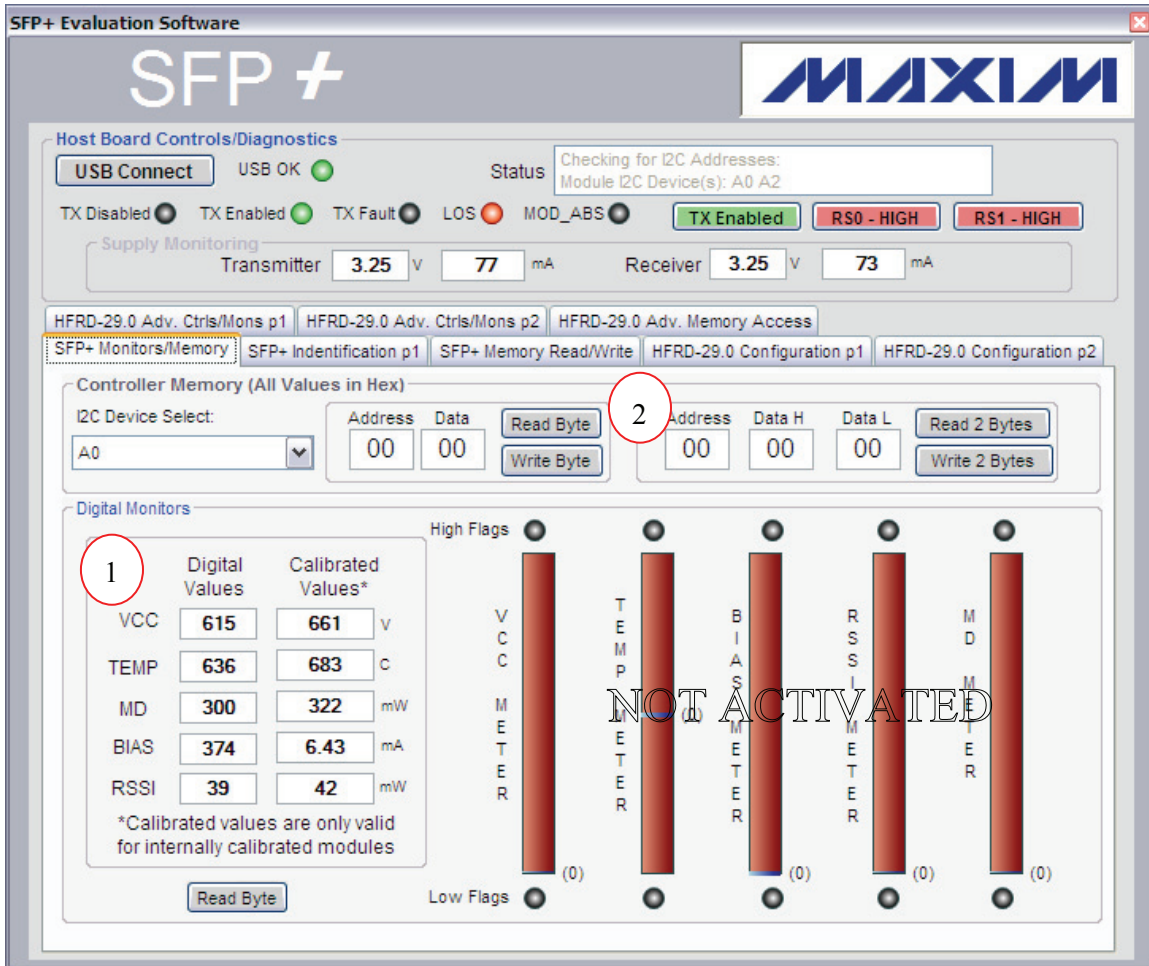
2. Supply Monitoring. This section displays the voltage and current for the SFP+ module. The transmitter and receiver VCC are connected together inside the SFP+ module. The SFP+ host board splits the current-draw reading, so the total current draw for the module is the sum of the transmit and receiver current.

3. Transmitter Controls. The majority of transmitter control registers are handled here. Toggle settings are provided for bias current and modulation current registers, as well as pulse-width selection and de-emphasis. When the APC loop is in operation, the bias current is automatically controlled and set by the microprocessor.

4. Receiver Controls/Indicator. The receiver control functions are handled in this section. Output level and LOS threshold are adjustable. An Rx LOS indicator replicates the indicator located on the host board. **Note:** To allow the option of selecting the low-bandwidth high-gain setting (8GHz is unchecked), the RS0 test point on the host board must be connected to ground. Otherwise the bandwidth will remain in the high condition.

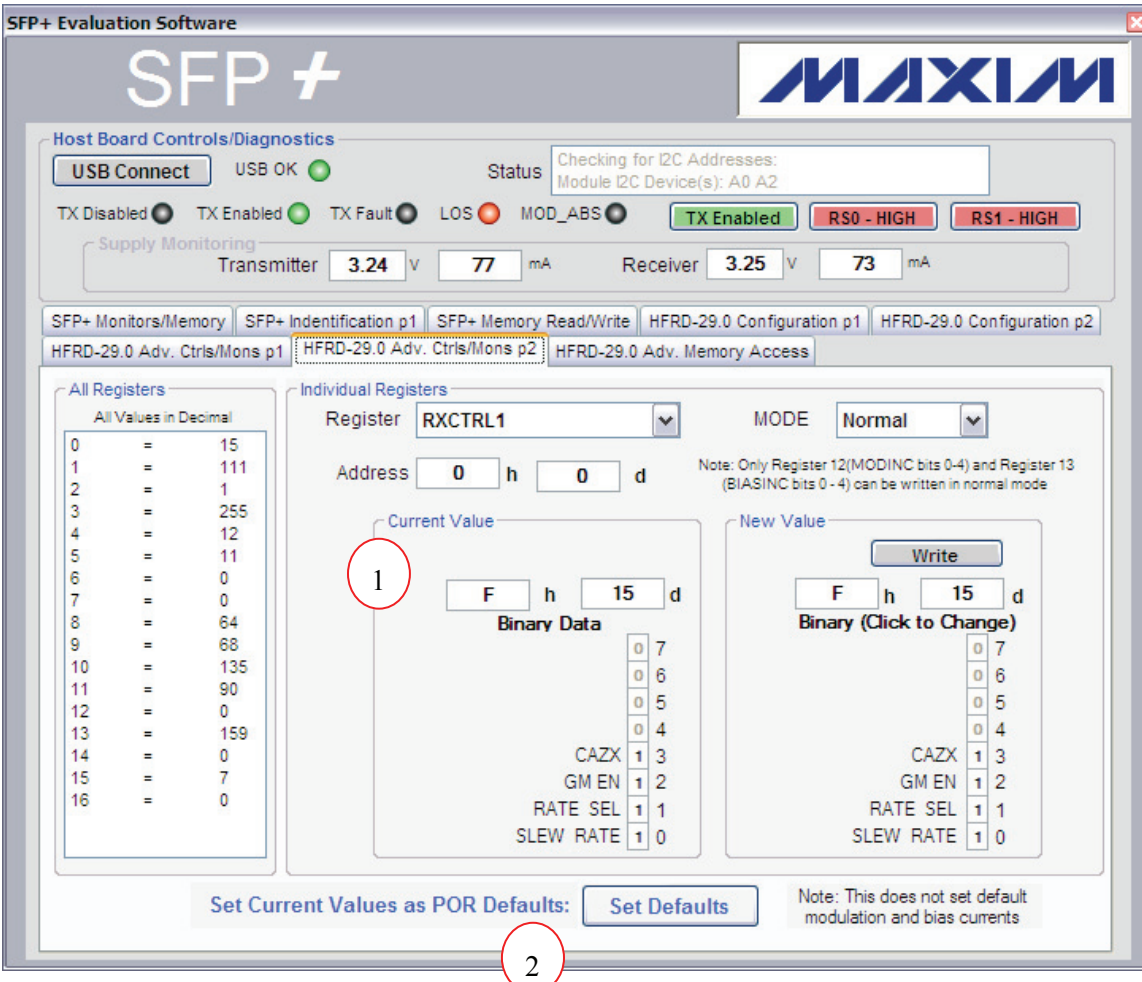


1. **APC Loop.** The APC loop function is enabled or disabled depending upon the setting selected.
2. **Monitor Diode Current.** This function selects the level of monitor diode current (arbitrary units) that is maintained by the APC loop. If the APC loop is disabled, then this setting has no effect.
3. **Modulation Current Settings.** This is a duplicate function also provided in the “Transmitter Controls” section above.
4. **Bias Current Settings.** These settings control the initial value and initial step size used by the APC algorithm when the TX Enabled is activated. A larger initial starting value and step size reduce the APC loop convergence time. If too large an initial value is entered for bias, then the optical output can overshoot during TX Enabled. The **Max Value** is a duplicate entry, also provided in the “Transmitter Controls” section.



1. Digital Monitors. All values displayed are uncalibrated except for bias current.

2. Controller Memory. This section allows the user to read and write to the controller memory.



1. Individual Registers. This section provides an alternate way to set specific control bits. Select the desired register from the pulldown, toggle the desired bits in the **New Value** section, and press **Write**. The newly written values should appear in the **Current Value** section.

2. Set Defaults. Prior to removing power from the module, press the **Set Defaults** button to save the latest changes to transmitter and receiver settings. Otherwise, upon activation of power the previous default values will be loaded.

9 Signal Definitions

Connector Pin	I/O Type	Name	Definition
1, 17, 20		VEET	Module transmitter ground (Note 4)
2	LVTTTL OUTPUT	TX_FAULT	Transmitter fault output (Note 1); the transmitter is disabled when TX_FAULT is asserted.
3	LVTTTL INPUT	TX_DISABLE	Transmitter disable input; the transmitter is disabled when TX_DISABLE is asserted.
4	LVTTTL INPUT / OUTPUT	MOD-DEF2	2-wire serial interface, bidirectional data line (Note 1)
5	LVTTTL INPUT	MOD-DEF1	2-wire serial interface clock line (Note 1)
6	LVTTTL OUTPUT	MOD-DEF0	Pin is pulled low by the SFP+ module to indicate to the host controller that a module is present (Note 1).
7	LVTTTL INPUT	RATE SEL1	Optional bandwidth selection input (not used in HFRD-29.11)
8	LVTTTL OUTPUT	LOS	Receiver loss-of-signal output (Note 1); output is high when receiver input signal is below the set threshold (Note 2).
9	LVTTTL INPUT	RS0	Sets receiver input mode (0 = low BW; 1 = high BW).
10, 11, 14		VEER	Module receiver ground (Note 4)
12	OUTPUT	RD-	Inverted received data output, AC-coupled inside the SFP+ module
13	OUTPUT	RD+	Noninverted received data output, AC-coupled inside the SFP+ module
15		VCCR	+3.3V receiver power-supply connection; may be internally connected to VcCT inside the SFP+ module (Note: 3).
16		VcCT	+3.3V transmitter power-supply connection; may be internally connected to VCCR inside the SFP+ module (Note: 3).
18	INPUT	TD+	Inverted transmit data input, AC-coupled inside the SFP+ module
19	INPUT	TD-	Noninverted transmit data input, AC-coupled inside the SFP+ module

Note 1: Open collector output. These pins must be pulled high (+2.95V to +3.65V) on the host board through a 4.7k Ω to 10k Ω resistor.

Note 2: LOS function can be inverted, if desired, in the HFRD-29.11.

Note 3: VCCR and VcCT are connected together inside HFRD-29.11.

Note 4: VEER and VEET are connected together inside HFRD-29.11

10 Component List

Reference	Qty	Value	Description
C1 C3 C8 C11-12	5	0.1 μ F	CAPACITOR (0201)
C10 C37	2	0.001 μ F	CAPACITOR (0402)
C13	1	680pF	CAPACITOR (0201)
C15 C19 C31 C36	4	1.0 μ F	CAPACITOR (0402)
C16 C30 C34 C40-42	6	0.01 μ F	CAPACITOR (0201)
C2 C14 C17 C22-23 C25 C27-29 C33 C35 C38	12	0.01 μ F	CAPACITOR (0402)
C4 C20-21	3	680pF	CAPACITOR (0402)
C5-6	2	20pF	CAPACITOR (0201)
C7 C9 C18 C24 C26 C32 C39	7	0.1 μ F	CAPACITOR (0402)
D1	1		DIODE, ROHM RB521CS-30
JU1	1		SOLDER JUMPER (0201)
L1-2 L11	3		BEAD, MURATA BLM15BD601SN1
L12-13	2	47 μ H	INDUCTOR (0603) TAIYO YUDEN LBMF1608T470K
L4 L10	2		BEAD, MURATA BLM15HB121SN1
L5 L8	2		BEAD, MURATA BLM18GG601SN1
L7 L9 L6 L3	4		BEAD, MURATA BLM15HG102SN1
R10	1	4.99K	RESISTOR (0402)
R11-12 R14 R20 R22	5	8.06K	RESISTOR (0402)
R1-3 R7 R15 R17	6	10.0K	RESISTOR (0402)
R16	1	49.9	RESISTOR (0402)
R18	1	4.75K	RESISTOR (0402)
R4, R19	2	0	RESISTOR (0402)
R23 R26	2	DNI	RESISTOR (0402) Note 1
R24-25	2	0	RESISTOR (0402) Note 1
R27-28	2	DNI	RESISTOR (0201) Note 2
R29-30	2	0	RESISTOR (0201) Note 2
R5	1	6.81K	RESISTOR (0402)
R6 R13	2	30.1	RESISTOR (0201)
R8 R21	2	1.0K	RESISTOR (0402)
R9	1	20.0K	RESISTOR (0402)
U1	1		ATMEL ATMEGA88V-10MU MICROCONTROLLER
U2	1		MAX3799
U3	1		TOSA w FLEX
U4	1		ROSA w FLEX
U5	1		PMOS TRANSISTOR FAIRCHILD FDN302P
U6	1		LM20BIM71NOPB, NATIONAL TEMP SENSOR
U7	1		MAX4073TAXK-T IN SC70-5
U8	1		NC7WZ07P6X, BUFFER IC NON-INVERTING

Note 1: For ground reference RSSI operation: R26 = 1k Ω , R23 = 0 Ω , R24-R25 = DNI, U7 = DNI. Jumper pin 1 to pin 4 at U7 location.

Note 2: To increase buffer attenuator from 0dB to 4dB: R29 to R30 = 24 Ω , R27 to R28 = 440 Ω .

11 Schematic

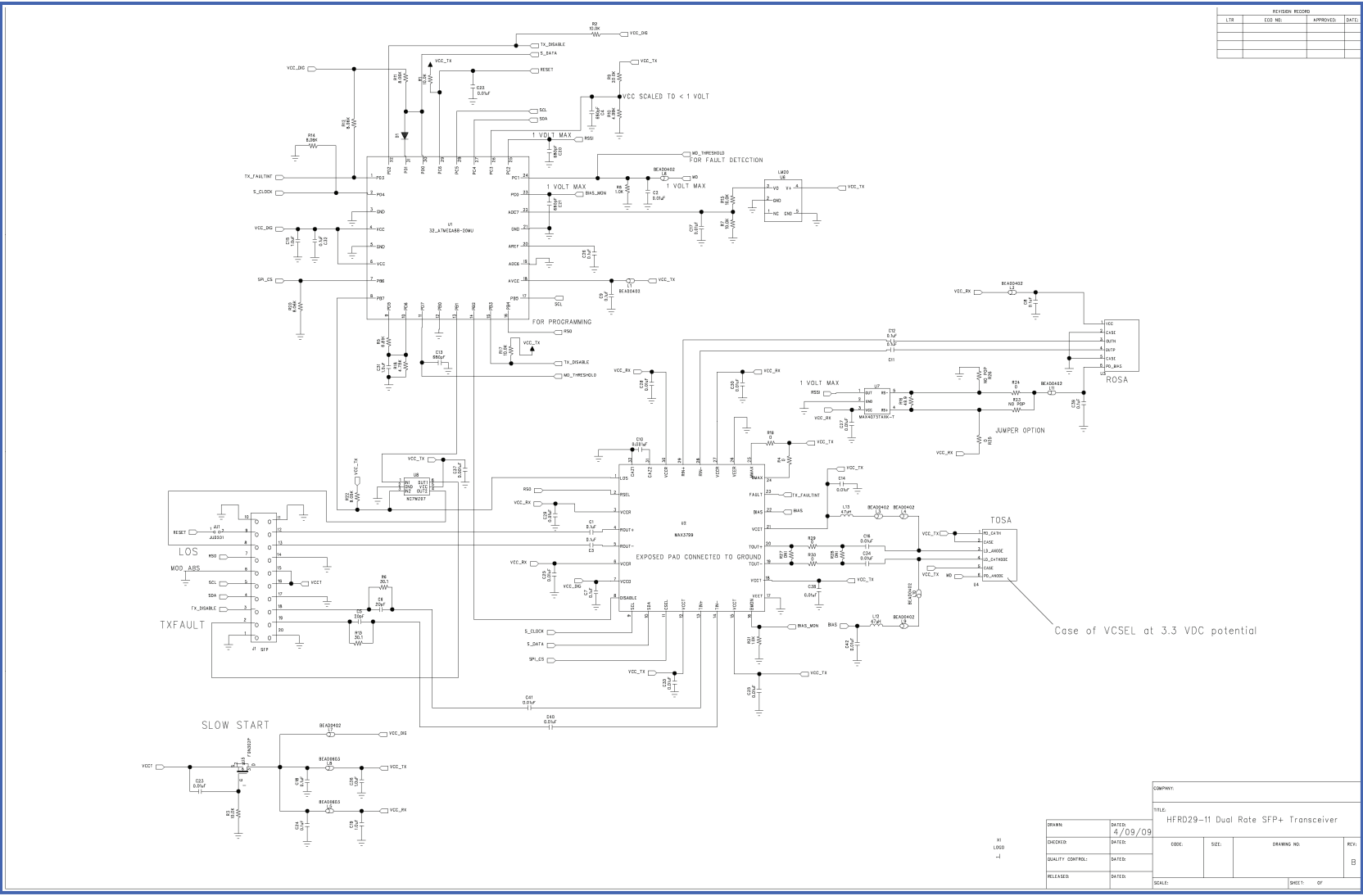


Figure 3. HFRD-29.11 schematic.

12 Board Layout

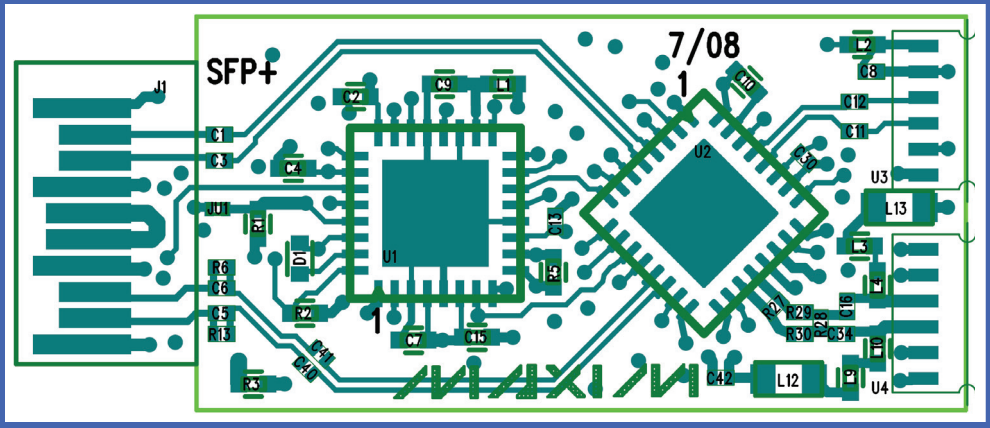


Figure 4. Board layout, component side—Layer 1

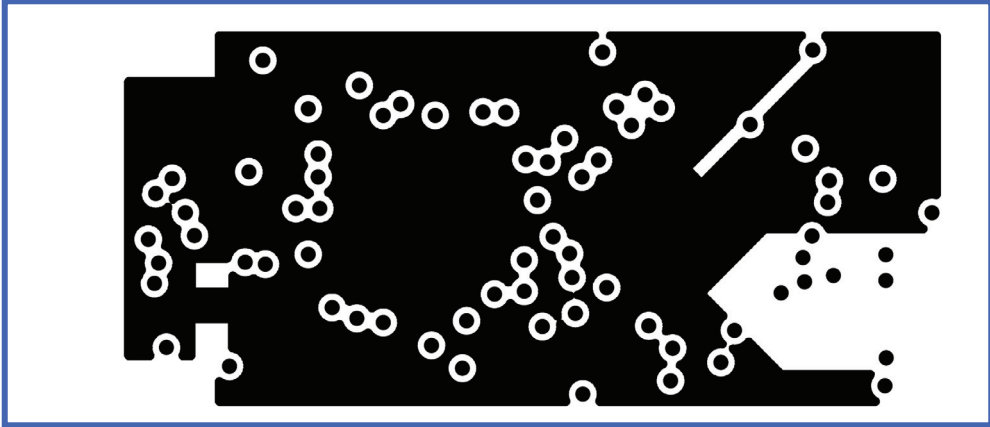


Figure 5. Board layout, ground plane—Layer 2.

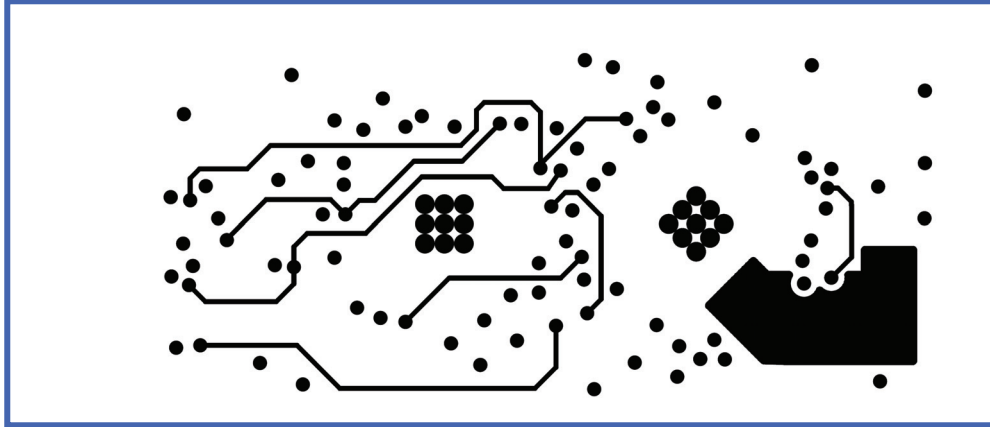


Figure 6. Board layout, miscellaneous routing and VCC—Layer 3.

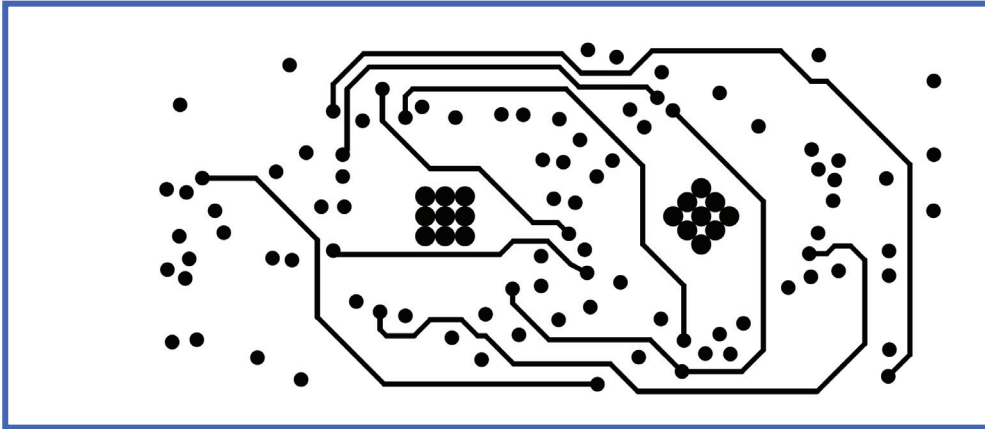


Figure 7. Board layout, miscellaneous routing—Layer 4.

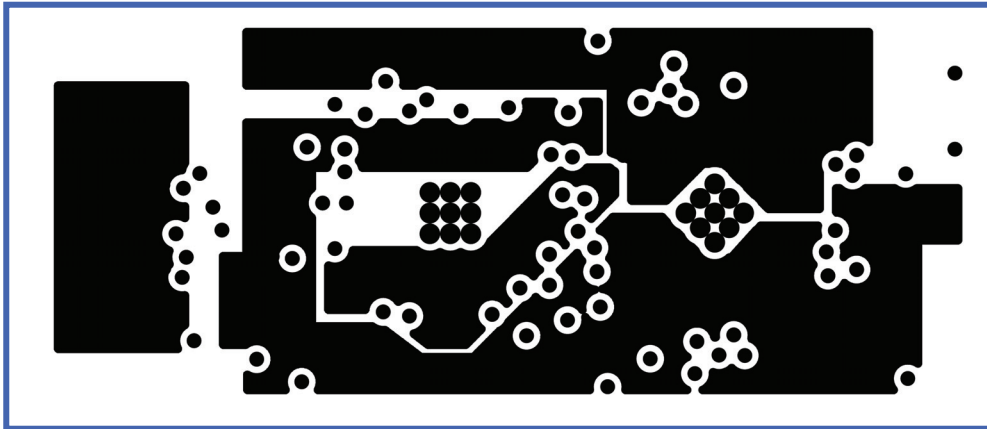


Figure 8. Board layout, VCC plane—Layer 5.

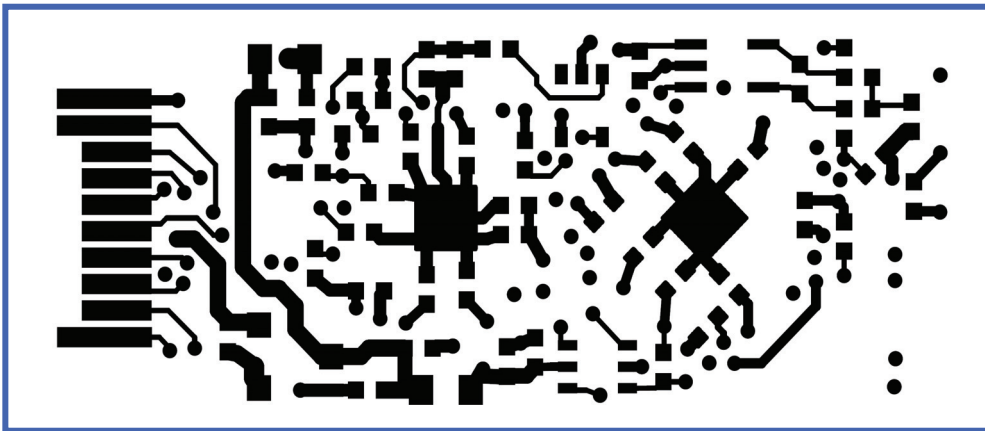


Figure 9. Board layout bottom side—Layer 6.

13 Layer Profile

The HFRD-29.11 includes controlled-impedance transmission lines. The layer profile is shown in Figure 10. The controlled-impedance transmission line connecting the output of the MAX3799 to the TOSA flex is between layer 1 and layer 3. A dielectric constant of 4.3 is assumed.

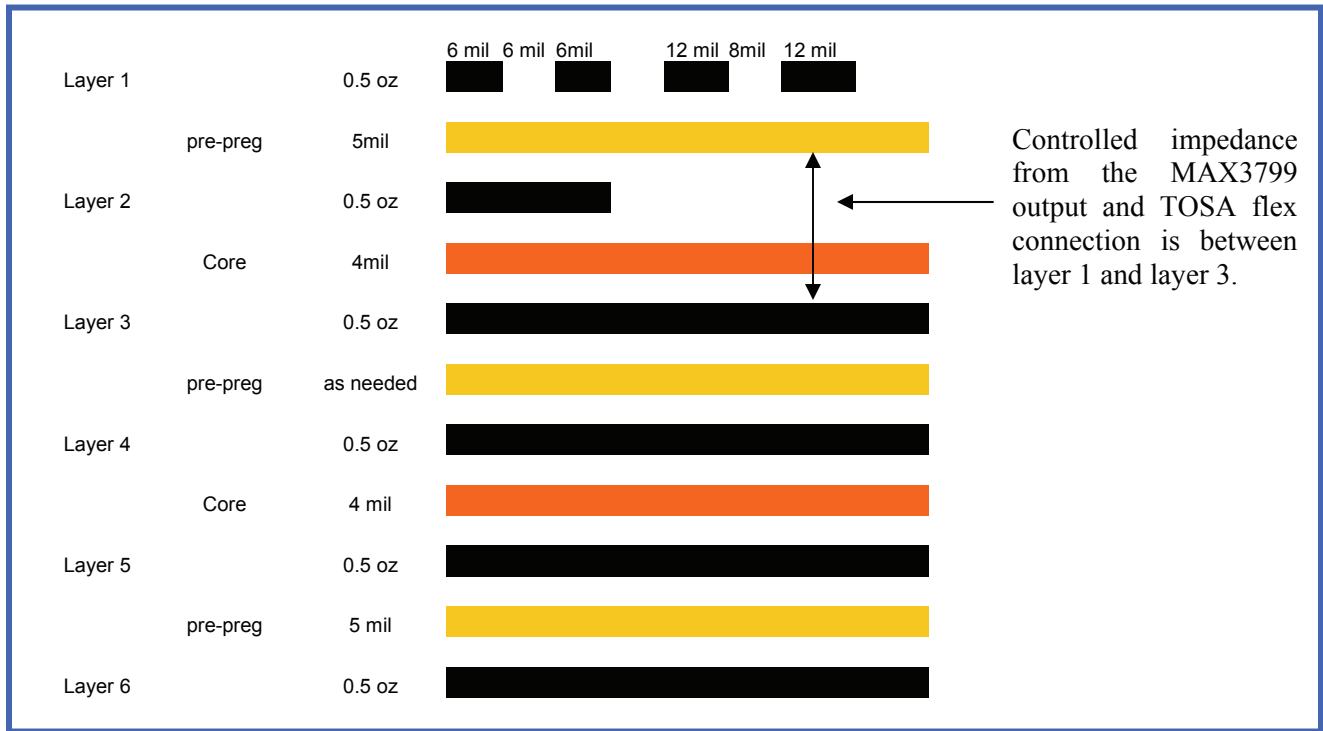


Figure 10. Layer profile for HFRD-29.11

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